PATENT

ITED STATES PATENT AND TRADEMARK OFFICE

Application of: : Atty Docket No. 7808.836

KNIGHT, et al. : Group Art Unit: 2152

Serial No.: 09/901,418 : Examiner: Not Yet Known

Filed: July 9, 2001 : Customer No.: 27128

For: AN INTERNET PROTOCOL : Confirmation No.: 6803

INTRANET NETWORK SYSTEM AND METHOD FOR A FINANCIAL

INSTITUTION

DECLARATION OF FACTS Under 37 CFR § 1.46 and 1.47

Sir:

I, J. Daniel Stinnett, Vice President, Secretary and General Counsel, Commerce Bancshares, Inc., hereby declare that all statements of fact made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1. Margaret M. Shaft was an employee of Commerce Bancshares, Inc. from February 4, 1985 to September 24, 1999. Ms. Shaft was employed in the capacity of software developer during this period of employment and was tasked with developing software relating to the above referenced application.

Declaraton of Facts

Attorney Docket No.: 7808.836

AN INTERNET PROTOCOL INTRANET

NETWORK SYSTEM...

- 2. Margaret M. Shaft is believed to be a joint inventor for the invention entitled AN INTERNET PROTOCOL INTRANET NETWORK SYSTEM AND METHOD FOR A FINIANCIAL INSTITUTION.
- 3. Subsequent Margaret M. Shaft's time of employment, no further contact was made with Ms. Shaft until this matter. Ms. Shaft received compensation that was adequate consideration for her efforts during her employment as a software developer. During Ms. Shaft's employment Commerce Bancshares had an on-going effort to develop technology relating subject matter contained in the above referenced application. Ms. Shaft was directed to participate in this effort which resulted in the subject matter contained in the present application. Ms. Shaft used Commerce Bancshares facilities and resources in her contribution as an inventor.
- 4. Based on Commerce Bancshares' ownership of the subject matter by reason of Ms. Shaft's employment as outlined above, a Declaration of Inventorship and Assignment was provided to Ms. Shaft for execution via the correspondence included as Attachment A hereto. The correspondence was mailed via US Post Office regular mail in July, 2001. Per Ms. Shaft's request, a copy of the correspondence was mailed to her Attorney via US Post Office regular mail on September 12, 2001 and a follow-up letter was mailed via US Post Office regular mail on November 15, 2001. Ms Shaft refused to execute the declaration and assignment.

Declaraton of Facts

Attorney Docket No.: 7808.836

AN INTERNET PROTOCOL INTRANET

NETWORK SYSTEM...

Further the Declarant Sayeth Not.

Dated: March 13, 2002

Name J. Daniel Stinnett

Title Vice President, Secretary and

General Counsel

Commerce Bancshares, Inc.

Commerce Bancshares, Inc.

1000 Walnut Street Post Office Box 13686 Kansas City, Miss uri 64199-3686 (816) 234-2000



November 15, 2001

Ms. Margaret M. Shaft 5060 Sunset Drive Kansas City, Missouri 64122

Re: Declaration and Document Assignment

Provisional Patent Application No. 60/217,882

Dear Ms. Shaft:

Commerce has previously provided you with the Declaration and Document Assignment mentioned above. We have not received an executed copy from you. Your cooperation in this matter will be appreciated.

The Application has been filed and we anticipate receiving a Notice to File Missing Parts in the near future. We would like to have your executed copy to file at this time. Should we not have it available, we will be forced to proceed accordingly.

Should you need another copy or if you have any questions, please feel free to contact me at 816-234-2350 or e-mail me at dan.stinnett@commercebank.com.

Very truly yours,

J. Daniel Stinnett

Vice President, Secretary and

General Counsel

JDS:bp